



JC06 Rec'd PCT/PTO 06 OCT 2005 #5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Wolfgang Rauscher et al      **GROUP ART:**  
SERIAL NO. : 10/535,409      **ATTY. REF.: WOLF3003**  
**FILED** : May 18, 2005  
**FOR** : METHOD AND DEVICE FOR VERIFYING VALUABLE  
DOCUMENTS

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Sir:

The below identified communication(s) or document(s) is(are) submitted regarding the above patent:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Declaration       | <input checked="" type="checkbox"/> Check in the Amount of <u>\$130.00</u> |
| <input checked="" type="checkbox"/> Power of Attorney | <input type="checkbox"/> Communication                                     |
| <input checked="" type="checkbox"/> Supplemental ADS  | <input type="checkbox"/>   |

☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication.

☐ MESSAGE:

10/13/2005 ATRAM1 00000096 10535409

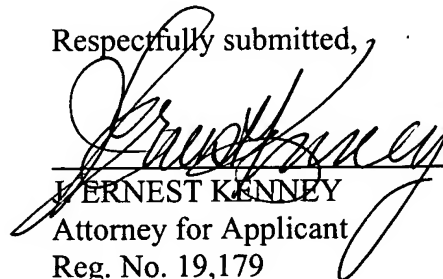
01-FC:1617

130.00 OP

**Customer 23364**  
Bacon & Thomas, PLLC  
625 Slaters Lane, Fourth Floor  
Alexandria, VA 22314  
(703) 683-0500

Date: October 5, 2005

Respectfully submitted,

  
ERNEST KENNEY  
Attorney for Applicant  
Reg. No. 19,179



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/535,409	Wolfgang Rauscher	WOLF3003/JEK

INTERNATIONAL APPLICATION NO.

PCT/EP03/13435

I.A. FILING DATE	PRIORITY DATE
11/28/2003	11/29/2002

23364

BACON &amp; THOMAS, PLLC

625 SLATERS LANE

FOURTH FLOOR

ALEXANDRIA, VA 22314

*WOLF3003*  
Date 09/28/2005 Atty JEK  
Action Due Response to Missing Requirements  
Deadline 11/26/2005  
Final Deadline 04/26/2005

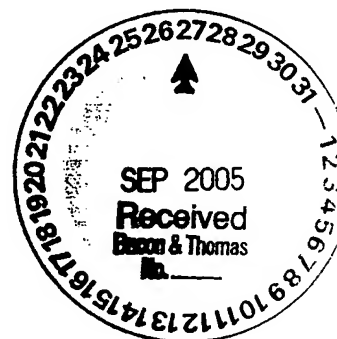
CONFIRMATION NO. 6000  
371 FORMALITIES LETTER  
\*OC000000017089865\*  
\*OC000000017089865\*

Date Mailed: 09/26/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/18/2005
- English Translation of the IA filed on 05/18/2005
- Copy of the International Search Report filed on 05/18/2005
- Preliminary Amendments filed on 05/18/2005
- Information Disclosure Statements filed on 05/18/2005
- Oath or Declaration filed on 05/18/2005
- Request for Immediate Examination filed on 05/18/2005
- U.S. Basic National Fees filed on 05/18/2005
- Priority Documents filed on 05/18/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/535,409	PCT/EP03/13435	WOLF3003/JEK

FORM PCT/DO/EO/905 (371 Formalities Notice)